

## 2<sup>nd</sup> lecture

# THE EDIFICE OF PHYSICAL THEORIES

### Classical Mechanics

Newton's laws:

- physical laws are invariant under Galilei boosts relating different inertial frames:  
space & time translations, rotations, Galilei boosts:  
 $t' = t$ ,  $\vec{r}' = \vec{r} - \vec{v}t$
- $\vec{F} = m\ddot{\vec{r}}$   
tautology for a single body ( $\vec{r}(t) \rightarrow \vec{F}(\vec{r}(t))$ )  
non-trivial if a force field  $\vec{F}(\vec{r}, t)$  is given  $\leadsto$  ODE for  $\vec{r}(t)$   
historical importance: applies also to celestial mechanics  
e.g. discovery of Neptune (1846) by Le Verrier  
after prediction from computation of Uranus perturbations
- philosophical impact: clockwork universe (Laplace)

# Relativistic Mechanics

add c

two principles by Einstein (1905):

- Galilean relativity extends also to electrodynamics (optics)
- the vacuum velocity of light is observer-independent

→ inertial frames related by Lorentz transformations:  
space & time translations, rotations, Lorentz boosts ( $\vec{v}$ ):

decompose  $\vec{r} = \vec{r}_{||} + \vec{r}_{\perp}$  w.r.t.  $\vec{v}$ , name  $r_0 := ct$

$$ct' = \gamma(ct - \frac{v}{c}r_{||}) \quad , \quad r'_{||} = \gamma(r_{||} - \frac{v}{c}ct) \quad , \quad \vec{r}'_{\perp} = \vec{r}_{\perp}$$

$$\text{with } \gamma = (1 - \frac{v^2}{c^2})^{-\frac{1}{2}} =: \cosh \theta \quad \Rightarrow \frac{v}{c} \equiv \beta = \tanh \theta, \quad \gamma \beta = \sinh \theta$$

$$\rightsquigarrow \begin{pmatrix} r_0 \\ r_{||} \end{pmatrix}' = \begin{pmatrix} \cosh \theta & -\sinh \theta \\ -\sinh \theta & \cosh \theta \end{pmatrix} \begin{pmatrix} r_0 \\ r_{||} \end{pmatrix}$$

$$\Leftrightarrow \begin{pmatrix} r_0 + r_{||} \\ r_0 - r_{||} \end{pmatrix}' = \begin{pmatrix} e^{-\theta} & 0 \\ 0 & e^{\theta} \end{pmatrix} \begin{pmatrix} r_0 + r_{||} \\ r_0 - r_{||} \end{pmatrix}$$

"light coordinates"

"emission & reception times"

- Newtonian dynamics gets modified:

$$\frac{d}{dt} \rightarrow \frac{d}{d\tau} = \gamma \frac{d}{dt}$$

$$\vec{v} \rightarrow \vec{u} = \gamma \vec{v}$$

$$\vec{p} = m\vec{v} \rightarrow \vec{p} = m\vec{u}$$

$$\vec{F} = \dot{\vec{p}} \rightarrow \vec{F} = \frac{d}{d\tau}(m\vec{v})$$

under boosts mixes with novel temporal components

$$u_0 = \gamma c, p_0 = \frac{E}{c}, F_0 = \frac{\text{power}}{c}$$

not independent, e.g.  $u_0^2 - \vec{u}^2 = \gamma^2 c^2 - \gamma^2 \vec{v}^2 = c^2$  fixed

$$\text{likewise } \left(\frac{E}{c}\right)^2 - \vec{p}^2 = mc^2$$

energy-momentum relation for relativistic mechanics.

## Quantum mechanics adds to

- Heisenberg uncertainty principle:  $\Delta x \Delta p \gtrsim \frac{\hbar}{2}$   
→ classical particle trajectory not measurable → does not exist  
"looking" with photons transfers energy  $E = pc = \hbar\omega$  & momentum  $\vec{p} = \hbar\vec{k}$
- measurable is (position) probability density  $P(\vec{r}, t) = |\Psi(\vec{r}, t)|^2$ ,  $\int d^3x P(\vec{r}, t) = 1$ ,  
for wave function  $\Psi \in \mathcal{C}$ .
- deterministic time evolution of wave function  $i\hbar \partial_t \Psi = \hat{H} \Psi$ ,  $\hat{H} = -\frac{\hbar^2}{2m} \Delta + V(\vec{r})$  Hamiltonian Schrödinger eqn., determines evolution of state  $|\Psi\rangle \in \mathcal{H}$ , "components"  $\langle \vec{r} | \Psi \rangle = \Psi(\vec{r}, t)$  in position basis
- full knowledge of  $|\Psi\rangle$  is unrealistic, instead formulate two physical problems:

① stationary state problem  $\Rightarrow$  energy spectrum

- separation ansatz  $\Psi(\vec{r}, t) = \psi(\vec{r}) \chi(t)$   $\rightsquigarrow$   
 $i\hbar \partial_t \chi = E \chi$  &  $\hat{H} \psi(\vec{r}) = E \psi(\vec{r})$  for constant  $E$   
 $\chi(t) = c e^{-\frac{i}{\hbar} Et}$  eigenvalue problem for  $\hat{H}$

• normalizability  $\int d^3 r |\Psi(\vec{r}, t)|^2 = 1$  restricts possible  $E$  values  
 $\rightarrow$  "quantization" of the energy (discrete spectrum of  $\hat{H}$ )  
analogous to discrete vibrational frequencies of a string or drum

• another condition:  $E \in \mathbb{R} \Leftrightarrow \int d^3 r \rho(\vec{r}, t) = \text{const.}$   
 $\rightsquigarrow V(\vec{r})$  cannot be too wild ...

• what about continuous part of spectrum?

free-particle solution ( $V=0$ ) is  $\psi(\vec{r}) = c e^{\frac{i}{\hbar} \vec{p} \cdot \vec{r}}$ ,  $E = \frac{\vec{p}^2}{2m}$

is not normalizable  $\rightsquigarrow$  put system in a fictitious box  $L^3$ :

need boundary conditions, e.g.  $\psi(\vec{r} + L\vec{e}_i) = \psi(\vec{r})$ ,  $i=1,2,3$   
but then  $\vec{p}$  are quantized:  $\vec{p} = 2\pi i \vec{n}/L$ ,  $\vec{n} \in \mathbb{Z}^3$   
and normalization fixes  $C = L^{-3/2}$   
limit  $L \rightarrow \infty$ : quasi-continuum, mathem. justification for continuum

② Scattering problem  $\Rightarrow$  cross sections, S-matrix

again,  $i\hbar \partial_t \Psi = \hat{H} \Psi$ ,  $\hat{H} = \hat{\mathbf{p}}_m^2 + V(\vec{r})$

with  $V(|\vec{r}| \rightarrow \infty) \downarrow 0$  and focus on  $t \rightarrow \pm \infty$

assume particle is far from origin for  $t \rightarrow \pm \infty$ , i.e. free

~ incoming plane wave with  $\vec{p}_{in}$  at  $t = -\infty$

outgoing plane wave with  $\vec{p}_{out}$  at  $t = +\infty$

particle may pass near origin, affected by potential

~  $\vec{p}_{out} \neq \vec{p}_{in}$  (not stationary)

what is the probability (amplitude) for scattering?

computation of this "scattering amplitude" & cross section  
is best performed in quantum field theory (more later...)

- classical limit:

characteristic  $\vec{p} \cdot \vec{r} \gg \hbar \rightarrow e^{\frac{i\hbar}{\hbar} \vec{p} \cdot \vec{r}} \text{ oscillates very rapidly.}$   
 can consider a wave packet ( $\psi$  localized in a small region)  
 & finds the trajectory  $\vec{r}_0(t)$  of its center given by Newton's law

- correspondence principle:

quantum  $\rightarrow$  classical when characteristic action  $\gg \hbar$   
 compare with

wave optics  $\rightarrow$  geometric optics (light rays)

# Relativistic Quantum Mechanics

add  
c, th

- try to merge the relativistic & quantum descriptions

Schrödinger eq. is Galilei-invariant  $\rightarrow$  modify to Lorentz inv.

$$\Delta \rightarrow \square = \frac{1}{c^2} \partial_t^2 - \Delta \quad , \quad V(\vec{r}) \rightarrow \frac{m^2 c^2}{\hbar^2} \text{ invariant}$$

try

$$(\square + \frac{m^2 c^2}{\hbar^2}) \Psi(\vec{r}, t) = 0 \quad \text{Klein-Fock-Gordon equ.} \\ (\text{KFG}) \quad (1926)$$

is of 2nd order in time derivative,

1st-order equivalent:

$$i\hbar \partial_t \Psi = c \sqrt{m^2 c^2 - \hbar^2 \Delta} \Psi \quad (= mc^2 \sqrt{1 - \frac{\hbar^2}{mc^2} \Delta} \Psi)$$

two problems with  $\sqrt{\phantom{x}}$ : why  $+\sqrt{\phantom{x}}$ , not  $-\sqrt{\phantom{x}}$

- nonrelativistic limit: expand in  $\kappa = \hbar^2 \Delta / m^2 c^2$  branch point of  $\sqrt{\phantom{x}}$
- $\sim i\hbar \partial_t \Psi = mc^2 \left( 1 - \frac{\hbar^2 \Delta}{2m c^2} + \dots \right) \Psi \approx (mc^2 - \frac{\hbar^2}{2m} \Delta) \Psi$
- can add potential (naturally to  $\frac{mc^2}{\hbar^2} \Psi$ ):  
 $\left[ \frac{1}{c^2} (\partial_t + \frac{i}{\hbar} V(\vec{r}))^2 - \Delta + \frac{m^2 c^2}{\hbar^2} \right] \Psi(\vec{r}, t) = 0$

- hydrogen spectrum:

$$E_n = -\frac{me^4}{2\hbar^2} + \delta_n \quad \begin{matrix} \text{relativ. corrections} \\ \text{of order } \alpha, E_{\text{bohr}} \end{matrix}$$

why Schrödinger failed to calculate  $\delta_n$  correctly with KFG?

electrons are not described by KFG, but by Dirac eqn.!

$\xrightarrow{\text{spin-0 particles}}$        $\xrightarrow{\text{spin-1/2 particles}}$

both eqs. have same nonrel. limit ✓

- interpretation of the wave func.  $\Psi(\vec{r}, t)$

no longer is  $|\Psi|^2$  a probability density, since  $\partial_t \int |\Psi|^2 \neq 0$

- this is related to a violation of a basic qu.mech. principle:

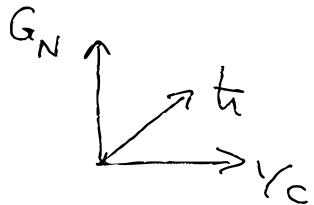
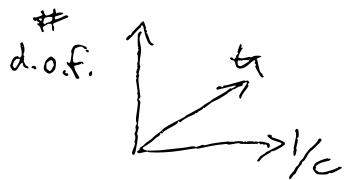
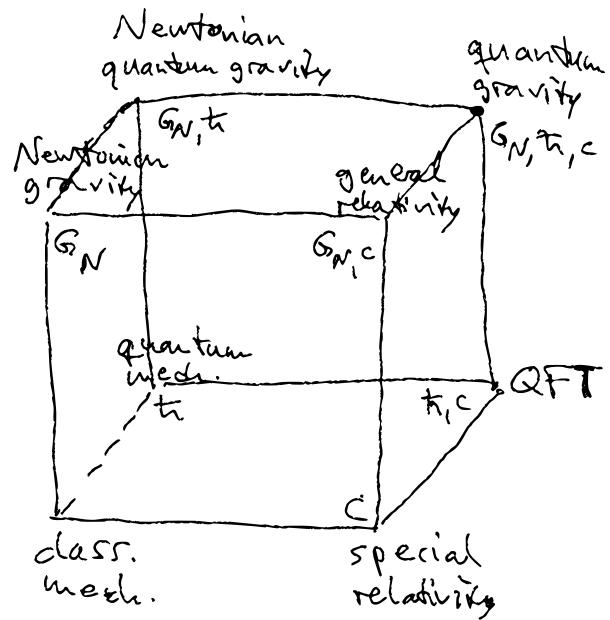
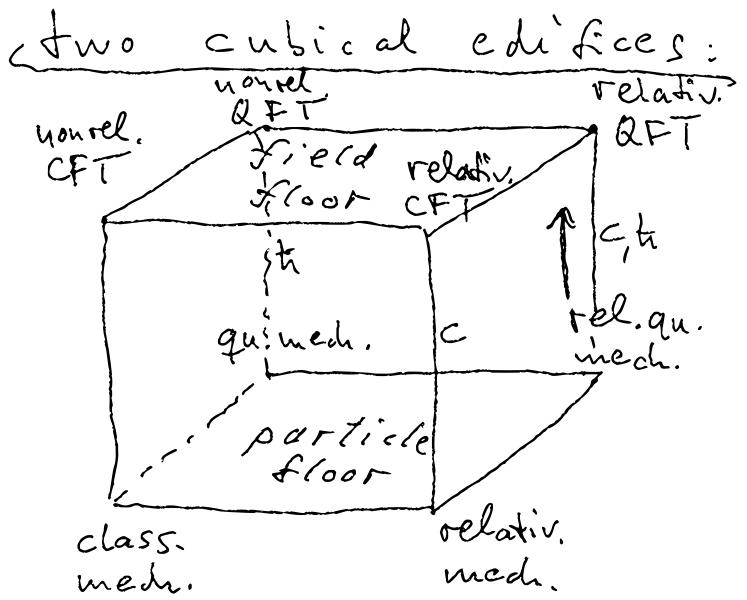
$\tilde{r}$  measurement (at the expense of  $\tilde{p}$ ) can still be arbitrarily precise, of order of  $\lambda$  of observing photon  $\gamma$ , but high- $E$  photon admits not only  $\gamma e^- \rightarrow \gamma e^-$

but also  $\gamma e^- \rightarrow \gamma e^- e^+ e^-$  (pair creation)

qu.: which  $e^-$  has been localized?

ans.:  $\tilde{r}$  of  $e^-$  cannot be determined better than  $\lambda_{\alpha} = \frac{\hbar}{mc}$   
(Compton wavelength)

- pair creation ruins naive single-particle quantum-mechanical description (particle number not conserved)
- relativistic quantum mechanics is not self-consistent?  
rather, a phenomenological approximation of something more fundamental (QFT),  
as long as energies are too small for particle creation



nonrel. CFT : hydrodynamics

relativ. CFT : electrodynamics

nonrel. QFT : ordinary quantum matter (phonons, superfluidity, ...)

relativ. QFT : elementary particle physics (the Standard Model)

particle : few degrees of freedom  
field : many ( $\infty$ ) degrees of freedom